2407. Research on modeling and dynamic characteristics of complex coaxial rotor system

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Abstract. To make up deficiency of the finite element method in predicting nonlinear dynamic characteristics of coaxial rotor systems, nonlinear dynamic model of a coaxial rotor system was established with a method combining the finite element method and the fixed interface modal synthesis method. Then an implicit time domain method was presented to solve the nonlinear equations of motion thus dynamic characteristics of the rotor system can be obtained. The computational efficiency of this method largely depends on the number of degrees of freedom with nonlinear forces acting on. With nonlinear forces of squeeze film damper and intermediate bearing considered, nonlinear dynamic response characteristics of the coaxial rotor system under multiple unbalance forces were studied in this work. The results showed that the unbalance excitation frequencies are dominant in the responses of the rotor system. Besides, due to coupling effect of the intermediate bearing some combinations of the unbalance excitation frequencies were also observed in the spectrogram. Stability and periodicity of the rotor system was investigated with bifurcation diagram, Poincare map and phase diagram. It was found that the rotor system executes multiple periods orbital motion under relatively low rotational speeds. With the increasing of rotational speed, the rotor system would execute quasi-periodic motion, chaotic motion and periodic motion again. The quasi-periodic motion and chaotic motion are closely related with the SFD. Finally, under relatively low speed, the nonlinear model was validated by comparing the simulation results with the experimental data. The proposed modeling and solving method is expected to provide theoretical and engineering basis for improving prediction of nonlinear dynamic characteristics of complex rotor systems.

Keywords: nonlinear vibration, counter-rotating coaxial rotor system, intermediate bearing, modal synthesis method, finite element method.

1. Introduction

Rotor system is the main source of vibration of aeroengines. Stability and reliability of aeroengines mostly depend on dynamic behaviors of the rotor system. In recent years, counter-rotating coaxial system has been applied to many aeroengines, such as RB211, GE120, F119 and so on. The counter-rotating technology is beneficial to improve the fuel consumption rate and thrust-weight ratio as well as reduce the gyroscopic torque of aeroengines [1]. Although advantageous for many aspects, it has made dynamic behaviors of the rotor system more complex, especially when coupled with squeeze film dampers(SFD) and intermediate bearing, both of which have been widely applied in modern aeroengines. Surveys of the dynamic issues associated with counter-rotating dual-rotor system are listed in references [2, 3].

The most commonly recurring problems in rotor dynamics are excessive steady state synchronous vibration levels and subsynchronous rotor instabilities. The first problem is caused mostly by the synchronous unbalance force and, generally, the second one is caused by nonlinear forces exerted by nonlinear components such as SFD and bearing. The steady state synchronous vibration levels may be reduced by improved balancing, or by moving critical speeds of the system out of the operating range [4], or by introducing external damping to limit peak amplitudes at critical speeds [5]. Subsynchronous rotor instabilities can be avoided by rising the natural

frequency of the rotor system, or by introducing damping to increase the onset rotor speed of instability [6]. However, all these problem solving measures are based on accurate approximation of the actual rotor system.

To better approximate the rotor system, all the forces, linear and nonlinear, should be considered in the dynamic model. However, the problem can be quite complicated when nonlinear forces are considered. The problem of nonlinear response of rotor systems are discussed in the extensive investigations of references [7-15]. Glasgow D. A. [8] employed the fixed interface modal synthesis method to reduce the size of the rotor system to obtain better computational efficiency. In reference [11, 12], nonlinear response of a dual-rotor system with intermediate bearing was studied with transfer matrix method. Wang W. [14] improved the free interface modal synthesis method to analyze dynamic characteristics of a multi-rotor system. In 2004, Hsiao-Wei [15] established the mathematical model of a dual-rotor system and stiffness of the intermediate bearing. From all the above references it can be seen that the transfer matrix method, finite element method and component modal synthesis method are commonly used in rotor dynamic analysis. In present work, nonlinear model of a counter-rotating coaxial test rig is established with a method combining the finite element method and the component modal synthesis method for accuracy and efficiency.

Notwithstanding the many investigations that there have been of the dynamic behavior of rotor systems with nonlinear forces acting on, the problem of developing efficient method for analyzing nonlinear dynamic behaviors of rotor system still needs to be solved. All these facts necessitate that more detailed models should be established and more efficient and robust numerical methods should be developed to give better approximation of dynamic behaviors of rotor systems.

In this paper, a counter-rotating coaxial system was modeled with finite element method and fixed interface modal synthesis method. Nonlinear forces exerted by the squeeze film damper and the intermediate bearing were considered. With this nonlinear model and the improved Newmark- β method, dynamic characteristics of the rotor system was obtained and then further analysis was made and compared with the experimental results.

2. Model development

2.1. Finite element formulation

Fig. 1 shows the rotor element considered in this work. Each element consists of two nodes, with four degrees of freedom at each node, namely the lateral displacement and the slope. The lateral displacements in X and Y directions are denoted with u and v respectively. The slopes are denoted with θ and φ for angular displacements in X and Y directions respectively.

The nodal displacement vector can be described as:

$$\mathbf{q}_{e} = \begin{bmatrix} u_{e1} & v_{e1} & \theta_{e1} & \varphi_{e1} & u_{e2} & v_{e2} & \theta_{e2} & \varphi_{e2} \end{bmatrix}^{T}.$$
 (1)

The displacement vector within the element can be interpolated as:

$$\begin{bmatrix} u_{e\xi}(\xi,t) \\ v_{e\xi}(\xi,t) \\ \theta_{e\xi}(\xi,t) \\ \varphi_{e\xi}(\xi,t) \end{bmatrix} = \begin{bmatrix} N_1 & 0 & 0 & N_2 & N_3 & 0 & 0 & N_4 \\ 0 & N_1 & -N_2 & 0 & 0 & N_3 & -N_4 & 0 \\ 0 & -\breve{N}_1 & \breve{N}_2 & 0 & 0 & -\breve{N}_3 & \breve{N}_4 & 0 \\ \breve{N}_1 & 0 & 0 & \breve{N}_2 & \breve{N}_3 & 0 & 0 & \breve{N}_4 \end{bmatrix} \mathbf{q}_e.$$

$$(2)$$

The shape functions in Eq. (2) are:

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$$\begin{cases} N_{1} = 1 - \frac{1}{l_{e}^{2} + 12g} \left(\frac{12g}{l_{e}} \xi + 3\xi^{2} - \frac{2}{l_{e}} \xi^{3} \right), \\ N_{2} = \frac{1}{l_{e}^{2} + 12g} \left((l_{e}^{2} + 6g)\xi - \frac{2l_{e}^{2} + 6g}{l_{e}} \xi^{2} + \xi^{3} \right), \\ N_{3} = \frac{1}{l_{e}^{2} + 12g} \left(\frac{12g}{l_{e}} \xi + 3\xi^{2} - \frac{2}{l_{e}} \xi^{3} \right), \\ N_{4} = \frac{1}{l_{e}^{2} + 12g} \left(-6g\xi - \frac{l_{e}^{2} - 6g}{l_{e}} \xi^{2} + \xi^{3} \right), \\ \left(\widetilde{N}_{1} = \frac{1}{l_{e}^{2} + 12g} \left(\frac{6}{l_{e}} \xi^{2} - 6\xi \right), \\ \widetilde{N}_{2} = \frac{1}{l_{e}^{2} + 12g} \left(l_{e}^{2} + 12g - \frac{4l_{e}^{2} + 12g}{l_{e}} \xi + 3\xi^{2} \right), \\ \left(\widetilde{N}_{3} = \frac{1}{l_{e}^{2} + 12g} \left(6\xi - \frac{6}{l_{e}} \xi^{2} \right), \\ \widetilde{N}_{4} = \frac{1}{l_{e}^{2} + 12g} \left(\frac{12g - 2l_{e}^{2}}{l_{e}} \xi + 3\xi^{2} \right). \end{cases}$$

$$(3a)$$





In Eq. (3), l_e is the element length and g is formulated as given below:

$$g = \frac{E_e I_e}{\kappa G_e A_e},\tag{4}$$

where E_e the Young's Modulus, I_e the area moment of inertia, G_e the shear modulus, A_e the cross-sectional area. κ is the shear coefficient, and for a hollow circular shaft section [16, 17]:

$$\kappa = \frac{6(1+\mu)^2 (1+\lambda^2)^2}{(7+12\mu+4\mu^2)(1+\lambda^2)^2 + 4(5+6\mu+2\mu^2)\lambda^2'}$$
(5)

where μ is the Poisson's ratio, λ is the ratio of the inner shaft radius to the outer shaft radius. Hence for a solid shaft $\lambda \to 0$.

With rotary inertia and shear effects considered, the kinetic energy and strain energy for a shaft

element is:

$$T_{e} = \frac{1}{2} \int_{0}^{l_{e}} \left(\rho_{e} A_{e} \dot{u}_{e\xi}^{2}(\xi, t) + \rho_{e} I_{e} \dot{\phi}_{e\xi}^{2}(\xi, t) \right) d\xi,$$
(6a)

$$U_e = \frac{1}{2} \int_0^{l_e} \left(\frac{\partial \varphi_{e\xi}(\xi, t)}{\partial \xi} \right)^T E_e I_e \left(\frac{\partial \varphi_{e\xi}(\xi, t)}{\partial \xi} \right) d\xi + \frac{1}{2} \kappa \int_0^{l_e} \gamma^T G_e A_e \gamma d\xi, \tag{6b}$$

where ρ_e denotes the density of the material and γ is the transverse shear strain [18]. And thus the element mass matrix:

$$\mathbf{m}_{e} = \rho_{e} A_{e} \int_{0}^{l_{e}} [\mathbf{N}]^{T} [\mathbf{N}] d\xi + \rho_{e} I_{e} \int_{0}^{l_{e}} [g[\mathbf{N}^{\prime\prime\prime\prime}] + [\mathbf{N}^{\prime}]]^{T} [g[\mathbf{N}^{\prime\prime\prime\prime}] + [\mathbf{N}^{\prime}]] d\xi.$$
(7)

The element stiffness matrix:

$$\mathbf{k}_e = E_e I_e \int_0^{l_e} [\mathbf{N}^{\prime\prime}]^T [\mathbf{N}^{\prime\prime}] d\xi + E_e I_e g \int_0^{l_e} [\mathbf{N}^{\prime\prime\prime}]^T [\mathbf{N}^{\prime\prime\prime}] d\xi, \qquad (8)$$

where:

$$\begin{cases} [\mathbf{N}'] = \frac{d[N_1 \quad N_2 \quad N_3 \quad N_4]}{d\xi}, \\ [\mathbf{N}''] = \frac{d^2[N_1 \quad N_2 \quad N_3 \quad N_4]}{d\xi^2}, \\ [\mathbf{N}'''] = \frac{d^3[N_1 \quad N_2 \quad N_3 \quad N_4]}{d\xi^3}. \end{cases}$$
(9)

The element gyroscopic matrix:

$$\mathbf{g}_e = -2\rho_e I_e \int_0^{l_e} (\mathbf{B}_2^T \mathbf{B}_1 - \mathbf{B}_1^T \mathbf{B}_2) d\xi,$$
(10)

where:

$$\begin{bmatrix} \mathbf{B}_1 \\ \mathbf{B}_2 \end{bmatrix} = \begin{bmatrix} 0 & -\breve{N}_1 & \breve{N}_2 & 0 & 0 & -\breve{N}_3 & \breve{N}_4 & 0 \\ \breve{N}_1 & 0 & 0 & \breve{N}_2 & \breve{N}_3 & 0 & 0 & \breve{N}_4 \end{bmatrix}.$$
 (11)

For disk element, the mass and gyroscopic matrices are:

where m_d^i , I_d^i and I_p^i are the mass, diametral moment of inertia and polar moment of inertia of the

disk, respectively.

2.2. Nonlinear forces of the supports

For squeeze film dampers analyzed in this work, based on the short bearing assumption and the Reynolds boundary conditions, nonlinear forces of the SFD can be expressed as [19, 20]:

$$\begin{cases} f_x^{sfd} = -\frac{\mu_s R L^3}{c^2 (x^2 + y^2)^{\frac{1}{2}}} [x(\dot{\varepsilon}I_2 + \varepsilon \dot{\psi}I_1) - y(\dot{\varepsilon}I_1 + \varepsilon \dot{\psi}I_3)], \\ f_y^{sfd} = -\frac{\mu_s R L^3}{(13a)^{\frac{1}{2}}} [y(\dot{\varepsilon}I_2 + \varepsilon \dot{\psi}I_1) + x(\dot{\varepsilon}I_1 + \varepsilon \dot{\psi}I_3)], \end{cases}$$

$$\begin{array}{c} c^{2}(x^{2}+y^{2})\overline{z} \\ \varepsilon = \sqrt{x^{2}+y^{2}}/c, \end{array} \tag{13b}$$

$$\dot{\varepsilon} = (x\dot{x} + y\dot{y})/(c\sqrt{x^2 + y^2}),$$
(13c)

$$\psi = (y\dot{x} - x\dot{y})/(x^2 + y^2),$$
(13d)
tan $\psi = y/x,$ (13e)

where x and y are the horizontal and vertical displacements of the journal. I_j (j = 1, 2, 3) are Sommerfeld integrals. R and L are radius and length of the SFD respectively. μ_s and c denote the dynamic viscosity of the film and the radial clearance of the SFD.

As for the rolling ball bearing, based on pure rolling assumption and the Hertz contact theory, nonlinear force of the rolling ball bearing [21] is:

$$\begin{cases} f_x^b = k_n \sum_{\substack{j=1\\N_b}}^{N_b} u_{\theta j}^{\xi} H(u_{\theta j}) \sin \theta_j, \end{cases}$$
(14a)

$$\begin{cases}
f_{\mathcal{Y}}^{b} = k_{n} \sum_{j=1}^{2} u_{\theta j}^{\xi} H(u_{\theta j}) \cos \theta_{j}, \\
\theta = 2\pi (i-1) \langle N_{j} + c \rangle t
\end{cases}$$
(14b)

$$\begin{aligned} \theta_{j} &= 2\pi (j-1)/N_{b} + \omega_{c} \iota, \\ u_{\theta j} &= (x^{ir} - x^{or}) \sin \theta_{j} + (y^{ir} - y^{or}) \cos \theta_{j} - \gamma/2, \end{aligned}$$
(14b)

$$H(u_{\theta j}) = \begin{cases} 0, & u_{\theta j} \le 0, \\ u_{\theta i}, & u_{\theta i} > 0, \end{cases}$$
(14d)

$$\omega_c = (\omega_{in}r + \omega_{out}R)/(R+r), \tag{14e}$$

where the superscript *ir* and *or* in Eq.(14c) denote inner ring and outer ring of the bearing. k_n in Eq.(14a) is the contact stiffness between rollers and rings. N_b represent number of the rollers. $\xi = 3/2$ for the rolling ball bearing used in the intermediate support. θ_j is the rotation angle of the *j*th roller at time *t*. γ and ω_c are bearing radial clearance and rotational speed of the bearing retainer respectively. $u_{\theta j}$ is the elastic radial deformation of the *j*th roller. *r* and *R* represent radius of the inner and outer ring. ω_{in} and ω_{out} are the rotational speeds of the inner and outer rings.

2.3. Equations of motion and numerical algorithm

Equation of motion of a nonlinear rotor system can be written as:

$\mathbf{M}\ddot{\mathbf{u}} + \mathbf{G}\dot{\mathbf{u}} + \mathbf{K}\mathbf{u} = \mathbf{F}^{nonl} + \mathbf{F}^{unb},$

where \mathbf{F}^{unb} is the unbalance force vector. \mathbf{F}^{nonl} is the nonlinear forces exerted by the SFDs and

(15)

rolling bearing in this work. **M**, **K**, and **G** can be obtained quite readily with elements formulated in Section 2.1.

Mathematically, the model is a set of nonlinear second order differential equations. The computational efficiency of which depends on the numerical methods applied and the total DOFs of the rotor system. To combine accuracy of the finite element model and computational efficiency, the fixed interface modal synthesis is applied to reduce dimension of the mathematical model and thus the computational effort.

Eq. (15) can be rewritten as:

$$\begin{bmatrix} \mathbf{M}_{II} & \mathbf{M}_{IJ} \\ \mathbf{M}_{JI} & \mathbf{M}_{JJ} \end{bmatrix} \begin{bmatrix} \ddot{\mathbf{u}}_{I} \\ \ddot{\mathbf{u}}_{J} \end{bmatrix} + \begin{bmatrix} \mathbf{G}_{II} & \mathbf{G}_{IJ} \\ \mathbf{G}_{JI} & \mathbf{G}_{JJ} \end{bmatrix} \begin{bmatrix} \dot{\mathbf{u}}_{I} \\ \dot{\mathbf{u}}_{J} \end{bmatrix} + \begin{bmatrix} \mathbf{K}_{II} & \mathbf{K}_{IJ} \\ \mathbf{K}_{JI} & \mathbf{K}_{JJ} \end{bmatrix} \begin{bmatrix} \mathbf{u}_{I} \\ \mathbf{u}_{J} \end{bmatrix} = \begin{bmatrix} \mathbf{F}_{I}^{unb} \\ \mathbf{0} \end{bmatrix} + \begin{bmatrix} \mathbf{0} \\ \mathbf{F}_{J}^{nonl} \end{bmatrix},$$
(16)

where \mathbf{u}_I can be interpreted as linear DOFs, with only unbalance forces acting on, while \mathbf{u}_J represents the interface DOFs or nonlinear DOFs in this work, including all the nodes with nonlinear forces acting on.

According to fixed interface modal synthesis method, transformation between physical and modal coordinates is:

$$\begin{bmatrix} \mathbf{u}_I \\ \mathbf{u}_J \end{bmatrix} = \begin{bmatrix} \mathbf{\Phi}_k & \mathbf{\Phi}_c \\ \mathbf{0} & \mathbf{I} \end{bmatrix} \begin{bmatrix} \mathbf{q}_k \\ \mathbf{u}_J \end{bmatrix} = \mathbf{T} \begin{bmatrix} \mathbf{q}_k \\ \mathbf{u}_J \end{bmatrix},$$
(17a)

where $\mathbf{\phi}_k$ – the mass normalized normal mode matrix, $\mathbf{\phi}_c$ – the mass normalized constrained mode, **I** – unity matrix, \mathbf{q}_k – the normal mode coordinates, **T** – the transformation matrix. $\mathbf{\phi}_c$ is given as below:

$$\boldsymbol{\Phi}_c = -(\mathbf{K}_{II})^{-1} \mathbf{K}_{IJ}. \tag{17b}$$

In Eq. (16), let $\mathbf{u}_I = \mathbf{0}$ and neglecting the gyroscopic effects gives:

$$\mathbf{M}_{II}\ddot{\mathbf{u}}_I + \mathbf{K}_{II}\mathbf{u}_I = \mathbf{0}. \tag{17c}$$

Solving the eigenvalue problem corresponding to Eq. (17c) gives the mass normalized normal mode matrix $\mathbf{\Phi}_k$ and the modal frequency Ω_k .

Substitute Eq. (17a) into Eq. (16) and premultiply with \mathbf{T}^T to obtain the reduced equations of motion:

$$\begin{bmatrix} \mathbf{I} & \overline{\mathbf{M}}_{IJ} \\ [\overline{\mathbf{M}}_{JI} & \overline{\mathbf{M}}_{JJ} \end{bmatrix} \begin{bmatrix} \ddot{\mathbf{q}}_k \\ \ddot{\mathbf{u}}_J \end{bmatrix} + \begin{bmatrix} \overline{\mathbf{G}}_{II} & \overline{\mathbf{G}}_{IJ} \\ [\overline{\mathbf{G}}_{JI} & \overline{\mathbf{G}}_{JJ} \end{bmatrix} \begin{bmatrix} \dot{\mathbf{q}}_k \\ \dot{\mathbf{u}}_J \end{bmatrix} + \begin{bmatrix} \overline{\mathbf{K}}_{II} & \mathbf{0} \\ \mathbf{0} & \overline{\mathbf{K}}_{JJ} \end{bmatrix} \begin{bmatrix} \mathbf{q}_k \\ \mathbf{u}_J \end{bmatrix} = \begin{bmatrix} \mathbf{\phi}_k^T \mathbf{F}_I^{unb} \\ \mathbf{\phi}_c^T \mathbf{F}_I^{unb} \end{bmatrix} + \begin{bmatrix} \mathbf{0} \\ \mathbf{F}_J^{nonl} \end{bmatrix},$$
(18a)

where:

$$\begin{cases} \overline{\mathbf{M}}_{JJ} = \mathbf{M}_{JJ} + \mathbf{M}_{JI} \mathbf{\Phi}_{c} + \mathbf{\Phi}_{c}^{T} (\mathbf{M}_{II} \mathbf{\Phi}_{c} + \mathbf{M}_{IJ}), \\ \overline{\mathbf{M}}_{IJ} = \overline{\mathbf{M}}_{JI} = \mathbf{\Phi}_{k}^{T} (\mathbf{M}_{II} \mathbf{\Phi}_{c} + \mathbf{M}_{IJ}), \\ \overline{\mathbf{G}}_{II} = \mathbf{\Phi}_{k}^{T} \mathbf{G}_{II} \mathbf{\Phi}_{k}, \\ \overline{\mathbf{G}}_{II} = \mathbf{G}_{JJ} + \mathbf{G}_{JI} \mathbf{\Phi}_{c} + \mathbf{\Phi}_{c}^{T} (\mathbf{G}_{II} \mathbf{\Phi}_{c} + \mathbf{G}_{IJ}) + \mathbf{c}_{JJ}, \\ \overline{\mathbf{G}}_{IJ} = \overline{\mathbf{G}}_{JI} = \mathbf{\Phi}_{k}^{T} \mathbf{G}_{II} \mathbf{\Phi}_{c} + \mathbf{\Phi}_{k}^{T} \mathbf{G}_{IJ}, \\ \overline{\mathbf{K}}_{II} = \operatorname{diag}(\Omega_{r}^{2}) \quad 1 \leq r \leq n, \\ \overline{\mathbf{K}}_{JJ} = \mathbf{K}_{IJ} + \mathbf{K}_{JI} \mathbf{\Phi}_{c} + \mathbf{k}_{JJ}, \end{cases}$$
(18b)

where Ω_r is the *r*th modal frequency obtained from Eq. (17c). \mathbf{F}_I^{unb} is the unbalance force acting

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on linear DOFs. \mathbf{F}_{J}^{nonl} is the nonlinear force acting on nonlinear DOFs.

And:

$$\mathbf{F}_{J}^{nonl} = -\mathbf{k}_{JJ} + \begin{bmatrix} \mathbf{F}_{J}^{SFD} \\ \mathbf{F}_{J}^{B} \end{bmatrix},\tag{19}$$

where $\mathbf{F}_{J}^{SFD} = \begin{bmatrix} f_{1x}^{sfd} & f_{1y}^{sfd} & \cdots & f_{Nx}^{sfd} & f_{Ny}^{sfd} \end{bmatrix}$ is the nonlinear force vector exerted by SFDs which can be calculated with Eq. (13). $\mathbf{F}_{J}^{B} = \begin{bmatrix} f_{x}^{b} & f_{y}^{b} & -f_{x}^{b} & -f_{y}^{b} \end{bmatrix}$ is the nonlinear force vector exerted by rolling bearing which can be calculated with Eq. (14). \mathbf{k}_{II} and \mathbf{c}_{II} are given below:

$$\mathbf{k}_{JJ} = \begin{bmatrix} \mathbf{k}_J^B & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \end{bmatrix}, \quad \mathbf{c}_{JJ} = \begin{bmatrix} \mathbf{c}_J^B & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \end{bmatrix}, \tag{20}$$

$$\mathbf{k}_{J}^{B} = \operatorname{diag}(\mathbf{k}_{Ji}^{B}), \quad \mathbf{c}_{J}^{B} = \operatorname{diag}(\mathbf{c}_{Ji}^{B}), \quad 1 \le i \le N,$$
(21)

$$\mathbf{k}_{Ji}^{B} = \begin{bmatrix} k_{Ji} & 0\\ 0 & k_{Ji} \end{bmatrix}, \quad \mathbf{c}_{Ji}^{B} = \begin{bmatrix} c_{Ji} & 0\\ 0 & c_{Ji} \end{bmatrix}, \tag{22}$$

where k_{ji} and c_{ji} are the stiffness and damping coefficients of the elastic support, respectively. *N* is the number of elastic supports.

Rearranging Eq. (18) gives:

$$\ddot{\mathbf{q}}_k + \overline{\mathbf{G}}_{II}\dot{\mathbf{q}}_k + \overline{\mathbf{K}}_{II}\mathbf{q}_k = \mathbf{\phi}_k^T \mathbf{F}_I^{unb} - \overline{\mathbf{M}}_{IJ}\ddot{\mathbf{u}}_J - \overline{\mathbf{G}}_{IJ}\dot{\mathbf{u}}_J,$$
(23)

$$\overline{\mathbf{M}}_{JJ}\ddot{\mathbf{u}}_{J} + \overline{\mathbf{G}}_{JJ}\dot{\mathbf{u}}_{J} + \overline{\mathbf{K}}_{JJ}\mathbf{u}_{J} = \mathbf{F}_{J}^{nonl} + \mathbf{\Phi}_{c}^{T}\mathbf{F}_{I}^{unb} - \overline{\mathbf{M}}_{JI}\dot{\mathbf{q}}_{k} - \overline{\mathbf{G}}_{JI}\dot{\mathbf{q}}_{k}.$$
(23b)

In Eq. (23), the vector \mathbf{q}_k and \mathbf{u}_j can be interpreted as linear and nonlinear DOFs of the reduced system respectively. Obviously, there is no nonlinear force acting on the linear DOFs. Thus, the explicit Newmark- β method applies to Eq.(23a) while implicit Newmark- β method applies to Eq. (23b).

According to assumptions of Newmark- β method, in time interval $[t_n \quad t_{n+1}]$:

$$\begin{bmatrix} \dot{\mathbf{q}}_{k}^{n+1} \\ \dot{\mathbf{u}}_{J}^{n+1} \end{bmatrix} = \begin{bmatrix} \dot{\mathbf{q}}_{k}^{n} \\ \dot{\mathbf{u}}_{J}^{n} \end{bmatrix} + \left\{ (1-\beta) \begin{bmatrix} \ddot{\mathbf{q}}_{k}^{n} \\ \ddot{\mathbf{u}}_{J}^{n} \end{bmatrix} + \beta \begin{bmatrix} \ddot{\mathbf{q}}_{k}^{n+1} \\ \ddot{\mathbf{u}}_{J}^{n+1} \end{bmatrix} \right\} \Delta t,$$
(24a)

$$\begin{bmatrix} \mathbf{q}_k^{n+1} \\ \mathbf{u}_j^{n+1} \end{bmatrix} = \begin{bmatrix} \mathbf{q}_k^n \\ \mathbf{u}_j^n \end{bmatrix} + \begin{bmatrix} \dot{\mathbf{q}}_k^n \\ \dot{\mathbf{u}}_j^n \end{bmatrix} \Delta t + \left\{ (0.5 - \alpha) \begin{bmatrix} \ddot{\mathbf{q}}_k^n \\ \ddot{\mathbf{u}}_j^n \end{bmatrix} + \alpha \begin{bmatrix} \ddot{\mathbf{q}}_k^{n+1} \\ \ddot{\mathbf{u}}_j^{n+1} \end{bmatrix} \right\} \Delta t^2,$$
(24b)

where $t_{n+1} = t_n + \Delta t$ and Δt is the time increment. The superscript n and n + 1 denote t_n and t_{n+1} .

Following equations can be obtained from Eq. (24):

$$\ddot{\mathbf{q}}_{k}^{n+1} = a\mathbf{q}_{k}^{n+1} - \mathbf{A}_{q}^{n}, \quad \dot{\mathbf{q}}_{k}^{n+1} = b\mathbf{q}_{k}^{n+1} - \mathbf{B}_{q}^{n},$$

$$\dot{\mathbf{u}}_{J}^{n+1} = a\mathbf{u}_{J}^{n+1} - \mathbf{A}_{J}^{n}, \quad \dot{\mathbf{u}}_{J}^{n+1} = b\mathbf{u}_{J}^{n+1} - \mathbf{B}_{J}^{n}.$$

$$(25)$$

With:

$$\begin{cases} \mathbf{A}_{q}^{n} = \frac{1}{\alpha\Delta t^{2}} \mathbf{q}_{k}^{n} + \frac{1}{\alpha\Delta t} \dot{\mathbf{q}}_{k}^{n} + \left(\frac{1}{2\alpha} - 1\right) \ddot{\mathbf{q}}_{k}^{n}, \\ \mathbf{A}_{J}^{n} = \frac{1}{\alpha\Delta t^{2}} \mathbf{u}_{k}^{n} + \frac{1}{\alpha\Delta t} \dot{\mathbf{u}}_{k}^{n} + \left(\frac{1}{2\alpha} - 1\right) \ddot{\mathbf{u}}_{k}^{n}, \\ \mathbf{B}_{q}^{n} = \frac{\beta}{\alpha\Delta t} \mathbf{q}_{k}^{n} + \left(\frac{\beta}{\alpha} - 1\right) \dot{\mathbf{q}}_{k}^{n} + \left(\frac{\beta}{\alpha} - 2\right) \ddot{\mathbf{q}}_{k}^{n}, \\ \mathbf{B}_{J}^{n} = \frac{\beta}{\alpha\Delta t} \mathbf{u}_{k}^{n} + \left(\frac{\beta}{\alpha} - 1\right) \dot{\mathbf{u}}_{k}^{n} + \left(\frac{\beta}{\alpha} - 2\right) \ddot{\mathbf{u}}_{k}^{n}, \\ a = \frac{1}{\alpha\Delta t^{2}}, \quad b = \frac{\beta}{\alpha\Delta t}. \end{cases}$$
(27)

Substituting Eq. (24)-Eq. (27) into Eq. (23) yields:

$$\mathbf{q}_{k}^{n+1} = \mathbf{S}_{q}^{-1} \left(\mathbf{\Phi}_{k}^{T} \mathbf{F}_{l}^{unb \ n+1} - \mathbf{V}_{q} \mathbf{u}_{l}^{n+1} + \mathbf{W}_{q} \right),$$
(28a)

$$\left(\mathbf{S}_{J}-\mathbf{V}_{J}\mathbf{S}_{q}^{-1}\mathbf{V}_{q}\right)\mathbf{u}_{J}^{n+1}=\mathbf{F}_{J}^{nonl\,n+1}+\left(\mathbf{\Phi}_{c}^{T}-\mathbf{V}_{J}\mathbf{S}_{q}^{-1}\mathbf{\Phi}_{k}^{T}\right)\mathbf{F}_{I}^{unb\,n+1}-\mathbf{V}_{J}\mathbf{S}_{q}^{-1}\mathbf{W}_{q}+\mathbf{W}_{J}.$$
(28b)

With:

$$\mathbf{W}_{a} = \bar{\mathbf{M}}_{II}\mathbf{A}_{a}^{n} + \bar{\mathbf{G}}_{II}\mathbf{B}_{a}^{n} + \bar{\mathbf{M}}_{II}\mathbf{A}_{I}^{n} + \bar{\mathbf{G}}_{II}\mathbf{B}_{I}^{n},$$
(29a)

$$\begin{split} \mathbf{W}_{J} &= \mathbf{\bar{M}}_{JJ} \mathbf{A}_{J}^{n} + \mathbf{\bar{G}}_{JJ} \mathbf{B}_{J}^{n} + \mathbf{\bar{M}}_{JI} \mathbf{A}_{q}^{n} + \mathbf{\bar{G}}_{JI} \mathbf{B}_{q}^{n}, \end{split} \tag{29b} \\ \mathbf{S}_{q} &= a \mathbf{\bar{M}}_{II} + b \mathbf{\bar{G}}_{II} + \mathbf{\bar{K}}_{II}, \end{aligned} \tag{29c} \\ \mathbf{S}_{J} &= a \mathbf{\bar{M}}_{JJ} + b \mathbf{\bar{G}}_{JJ} + \mathbf{\bar{K}}_{JJ}, \end{aligned} \tag{29d} \\ \mathbf{V}_{q} &= a \mathbf{\bar{M}}_{IJ} + b \mathbf{\bar{G}}_{IJ}, \end{aligned} \tag{29e} \\ \mathbf{V}_{J} &= a \mathbf{\bar{M}}_{JI} + b \mathbf{\bar{G}}_{JI}, \end{aligned} \tag{29e} \\ \mathbf{F}_{I}^{nonl\,n+1} &= \mathbf{F}_{I}^{nonl\,n}(\mathbf{u}_{I}^{n+1}, \dot{\mathbf{u}}_{I}^{n+1}). \end{aligned}$$

Using Eq. (26) to substitute for $\dot{\mathbf{u}}_{J}^{n+1}$ in Eq. (29g) and substitute the new Eq. (29g) into Eq. (28b) to yield nonlinear equations of \mathbf{u}_{J}^{n+1} . After solving Eq. (28b) for \mathbf{u}_{J}^{n+1} with numerical algorithms, \mathbf{q}_{k}^{n+1} can be obtained with \mathbf{u}_{J}^{n+1} substituted into Eq. (28a). Thus $\dot{\mathbf{u}}_{J}^{n+1}$, $\ddot{\mathbf{u}}_{L}^{n+1}$, $\dot{\mathbf{q}}_{k}^{n+1}$, $\ddot{\mathbf{q}}_{k}^{n+1}$ and can be solved from Eq. (25) and Eq. (26). The process continues to repeat and move forward to find \mathbf{u}_{J}^{n+2} , and so on. When the iteration is over, the nonlinear response in physical coordinate system can be obtained from Eq. (17a).

Computational efficiency of solving Eq. (23) depends on solving Eq. (23b) while dimensions of Eq. (23b) depend on DOFs with nonlinear forces acting on. Thus, the computational efficiency can be greatly improved with the modeling technique and solving method described in this work.

To summarize, nonlinear model of the rotor system is established with finite element method and fixed interface modal synthesis method; subsequently an implicit time-domain method based on Newmark- β method is applied to solve the equations of motion of the reduced system thus dynamic characteristics can be obtained. The process of modeling and solving is described as follows: First, only the rotating components of the rotor assembly are modeled with the element described in Section 2.1 thus **M**, **K** and **G** in Eq. (15) can be obtained and partitioned as Eq. (16) for calculating $\mathbf{\Phi}_c$ and later use. Then, in accordance with Eq. (17c), modal analysis is conducted on the model with all supports pinned to obtain $\mathbf{\Phi}_k$ and Ω_k . The left hand side of Eq. (18a) now is obtained with $\mathbf{\Phi}_k$, $\mathbf{\Phi}_c$ and the partitioned **M**, **K** and **G** while the right hand side is formulated with Eq. (13), Eq. (14) and Eq. (19)-Eq. (22). Finally, Eq. (18a) is solved with the numerical method which is described by Eq. (23)-Eq. (29). Flowchart of the modeling and solving method is shown in Fig. 2.





Fig. 2. Flowchart of modeling and solving

2.4. Test rig description

An overall view of the dual-rotor test rig is given in Fig. 3. The test rig was designed to study the dynamic characteristics of co-and counter-rotating dual-rotor systems with 4 or 5 supports. In this work, the 4 supports counter-rotating dual-rotor system is studied. So, the additional support in Fig. 3 was removed. Thus, the studied rotor system is designed with 4 supports and 4 disks, two for each rotor. The test rig consists of two shafts disposed along the same axis, connected by an intermediate bearing. Each shaft is driven by its own motor thus their spin speeds can be different. Speed ratio of outer and inner rotor is -1.65. Rear support of the outer rotor is intermediate support in which a rolling ball bearing is adopted. Support I and II are front and rear supports of inner rotor respectively while Support III and IV are front and rear support of outer rotor. The squirrel cage + rolling bearing + SFD supporting scheme is adopted for support I, II and III. While support IV, or the intermediate bearing, is mounted without SFD. Four eddy current probes are placed to measure the displacement of disk 2 and 4, two for each disk. It is to be noted that in Fig. 3 the eddy current probes have not been placed in the right positions yet.

The test profiles include slow run-up and run-down through the speed range of the test rig. The angular acceleration for inner rotor during run-up and rundown is approximately 10 rpm/s. Besides, the steady state response are measured when inner rotor spins at 128 rad/s, 160 rad/s and 210 rad/s. The operational ranges for the inner and outer rotor are 0-232 rad/s and 0-382 rad/s respectively. The excitation is only due to the residual unbalance of the rotors.

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3. Numerical results and experimental validation

3.1. Model introduction

The counter-rotating coaxial system studied in this paper is presented in Fig. 4.

The stationary coordinate system in Fig. 4 consists of three mutually perpendicular axes, ox, oy, oz, intersecting at the point o and axis of the rotor coincides with axis oz. The axes ox, oz are horizontal, oy is vertical.



Model parameters of the rotor system studied in this work are listed in Table 1-5. The Young's modulus of the shaft is 196 GPa, mass density 7810 kg/m³, and shear modulus 75.5 GPa. To apply modal synthesis method, 40 modes are retained in the normal mode matrix $\mathbf{\Phi}_k$. For the Newmark- β method, $\alpha = 0.25$, $\beta = 0.5$.

Geometric dimensions and information of each element are listed in Table 1. Stiffness coefficients of elastic supports are listed in Table 2. Parameters of the intermediate bearing and SFDs are listed in Table 3 and 4. The unbalance configuration and inertia properties of each disk are listed in Table 5.

Node no.	Axial location (m)	Bearing/disk	Element no.	Outer diameter (m)	Inner diameter (m)	
1	0		1	0.018	0.00	
2	0.08143		2	0.018	0.00	
3	0.16286		3	0.018	0.00	
4	0.24429		4	0.018	0.00	
5	0.24909		5	0.018	0.00	
6	0.25479		6	0.018	0.00	
7	0.28879		7	0.018	0.00	
8	0.32279		8	0.018	0.00	
9	0.35879	Disk no. 1	9	0.018	0.00	
10	0.38369		10	0.018	0.00	
11	0.40859		11	0.018	0.00	
12	0.43349		12	0.018	0.00	
13	0.43869	Bearing no. 1	13	0.018	0.00	
14	0.44479	-	14	0.022	0.00	
15	0.54752		15	0.022	0.00	
16	0.65025		16	0.022	0.00	
17	0.75298		17	0.022	0.00	
18	0.85571		18	0.022	0.00	
19	0.95844		19	0.022	0.00	
20	1.06117		20	0.022	0.00	
21	1.06517	Bearing no. 4	21	0.022	0.00	
22	1.06867		22	0.022	0.00	
23	1.08867		23	0.022	0.00	
24	1.10867	Disk no. 2	24	0.022	0.00	
25	1.14274		25	0.022	0.00	
26	1.17681		26	0.022	0.00	
27	1.21088		27	0.017	0.00	
28	1.21488	Bearing no. 2	28	0.014	0.00	
29	1.21838		29	0.014	0.00	
30	1.23038		E	nd of inner rotor		
31	0.64200		30	0.035	0.03	
32	0.66065		31	0.035	0.03	
33	0.67930	Bearing no. 3	32	0.035	0.03	
34	0.68650		33	0.038	0.03	
35	0.71170		34	0.038	0.03	
36	0.73690		35	0.038	0.03	
37	0.76210	Disk no. 3	36	0.038	0.03	
38	0.80784		37	0.038	0.03	
39	0.85358		38	0.038	0.03	
40	0.89932		39	0.038	0.03	
41	0.94506		40	0.038	0.03	
42	0.99080	Disk no. 4	41	0.038	0.03	
43	1.01430		42	0.038	0.03	
44	1.02030		43	0.070	0.03	
45	1.03330		44	0.060	0.03	
46	1.06030		45	0.060	0.03	
47	1.06430	Bearing no. 4	46	0.060	0.03	
48	1 07380	1	1	1		

 Table 1. Dimension and elements information of the rotor system

Table 2. Stiffness of elastic supports (squirrel cage)

ruble 2. Stilliess of clastic supports (squiffer cage)						
	Support I	Support II	Support III	Support IV		
Stiffness (N/m)	1.45×10^{6}	2.21×10 ⁵	9.29×10 ⁵			

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Table 5. Parameters of the intermediate bearing						
Radius of inner ring	Radius of outer ring	No. of	Contact stiffness	Radial clearance		
(mm)	(mm)	rollers	$(N/m^{3/2})$	(µm)		
9.37	14.13	9	7.055×109	6		

.

1. .

1.0752

Table 4. Parameters of SFDs						
	Inner rotor		Outer rotor			
	SFD I	SFD II	SFD III			
Radius R / mm	25	18	35			
Length L / mm	15	15	20			
Radial clearance c / mm	0.1	0.1	0.08			

Dynamic viscosity $\mu_s / 10^{-2} \text{ Pa} \cdot \text{s}$

	Inner rotor		Outer rotor	
	Disk 1	Disk 2	Disk 3	Disk 4
Unbalance (×10 ⁻⁵ kg⋅m)	2	4	1	2
Mass (kg)	2.3386	2.3386	3.2590	1.6303
Polar moment of inertia (kg·m ²)	0.00815	0.00815	0.01561	0.00661



Fig. 5. Spectrum cascade of the horizontal response of disk 2 and 4-numerical simulation

3.2. Unbalance response analysis

With the model established by method described in Section 2, steady-state unbalance response of the rotor system is obtained for rotational speed of the inner rotor varying from 4 rad/s to 1600 rad/s with a step length of 2 rad/s. Zero initial condition is adopted for the first step. For the

rest steps, result of previous step is adopted as initial condition. Without loss of generality, unbalance response of disk 2 and disk 4 are analyzed to evaluate the coupling effect of the intermediate bearing.



Fig. 6. Spectrum cascade of the horizontal response of disk 2 and 4-experimental results

Spectrum cascades of the horizontal response of disk 2 and disk 4 with inner rotor operating in 4-400 rad/s are shown in Fig. 5 and Fig. 6. The simulation and experimental results are shown in Fig. 5 and Fig. 6 respectively. The numerically simulated spectrum cascades of the horizontal response of disk 2 and disk 4 with inner rotor operating in 400-1600 rad/s are shown in Figs. 7-9. Due to nonlinearities of SFD and the intermediate bearing as well as the multifrequency unbalance excitation of the inner and outer rotor, rich frequency components are observed in the response of disk 2 and disk 4. Following conclusions can be reached from Fig. 5-9:

(1) Responses of the inner and outer rotor are coupled because of the intermediate bearing. Frequency components, ω_1 and ω_2 , corresponding to the unbalance excitation frequencies of the inner and outer rotor are dominant in the response of disk 2 and disk 4. The coupling effect also makes the spectrum cascades corresponding to disk 2 and disk 4 basically follow the same pattern with only slight differences in amplitude.

(2) Frequency components besides ω_1 and ω_2 in the responses of disk 2 and 4, such as $2\omega_1 + \omega_2$, $\omega_1 + 2\omega_2$, $3\omega_1 + 2\omega_2$, $2\omega_1 + 3\omega_2$, $(\omega_2 - \omega_1)/2$, seen in Figs. 5-9, are mainly caused by the nonlinear forces of the SFD and the coupling effect of the intermediate bearing.

(3) By comparison between Fig. 5 and Fig. 7 it can be seen that the new combination frequency components, $2\omega_2 - \omega_1$, $3\omega_2 - 2\omega_1$ emerge when inner rotor operating in 400-800 rad/s. However, $2\omega_2 - \omega_1$ and $3\omega_2 - 2\omega_1$ are not observed for both disk 2 and 4 in Fig. 8 and Fig. 9 when the inner rotor operating in 800-1200 rad/s and 1200-1600 rad/s. Besides, $2\omega_1 + \omega_2$ is

persistent in 0-1600 rad/s for disk 2 and 4, which can be seen from Fig. 5 and Figs. 7-9.

(4) Two critical speeds of the rotor system, 168 rad/s and 186 rad/s, are observed in rotational speed range 0-200 rad/s in Fig. 5. The first one, 168 rad/s, is excited by the outer rotor and the second one, 186 rad/s, is excited by the inner rotor. It can be obtained from the experimental data shown in Fig. 6 that the corresponding critical speeds of the rotor system are 173 rad/s and 189 rad/s. Discrepancies of critical speeds between numerical and experimental results are less than 3 %, which demonstrate the validity of the model established in this work.



Fig. 7. Spectrum cascade of the horizontal response of disk 2 and 4-numerical simulation



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Fig. 8. Spectrum cascade of the horizontal response of disk 2 and 4-numerical simulation



Fig. 9. Spectrum cascade of the horizontal response of disk 2 and 4-numerical simulation

Fig. 10 shows bifurcation diagrams for horizontal displacement of disk 2 and disk 4 with rotor speed. Sampling period for the bifurcation diagram is $5 \times 2\pi/\omega_1$ because the rotational speed ratio of outer and inner rotor is -1.65 and $5 \approx 1.65 \times 3$. The horizontal axes of Fig. 10(a) and (b) are rotational speeds of inner and outer rotor respectively. And the vertical axes are horizontal displacement of disk 2 and disk 4.

Basically, disk 2 and 4 execute multiple period orbital motion with inner rotor operating in 4-390 rad/s, see Fig. 10(a), Fig. 10(b). However, with the increase of rotational speed, a transformation between periodic and chaotic motion can be observed. From 400 rad/s to 600 rad/s,

the rotor execute chaotic motion which can be seen from Fig. 10(c), Fig. 10(d) and Fig. 11.



Fig. 10. Bifurcation diagrams of the horizontal response of disk 2 and disk 4 with rotor speed

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In Fig. 11, continuous spectrum and the disordered discrete points on Poincare map all suggest chaotic motion. With the continuous increasing of rotational speed, from Fig. 12, 740 rad/s for inner rotor, it can be seen that although continuous spectrum still can be observed the discrete

points on Poincare map has shown a pattern of surrounding four equilibrium points, which suggests a transformation to periodic motion is undergoing. With higher rotational speed, 820 rad/s, period 4 orbital motion is reached, which can be seen quite obviously from Fig. 10(e), Fig. 10(f) and Fig. 13. Then the process repeats with increasing rotational speeds, see Fig. 10(g), Fig. 10(h) and Fig. 14-Fig. 17.

Besides the pattern of transformation between different states of motion, it is found that the chaotic motion is closely related with the SFD. Fig. 11(a), Fig. 15(a) and Fig. 18(a) show the spectrogram of chaotic motion at different rotational speeds, the same low frequency component, approximately 30 Hz, can be seen quite obviously. This frequency component, 30 Hz or 188 rad/s, is almost the value of the first critical speed excited by the inner rotor. This phenomenon suggests that the SFD has an important influence on the state of motion of the rotor system.

3.3. Experimental validation

The comparison between numerical and experimental results has already been made in previous section, Fig. 5 and 6. Orbits of disk 2 and 4 under three different rotational speeds are compared in this section for further validation and analysis, see Fig. 19-Fig. 21.



Fig. 19. Orbit of disk 2 and disk 4 with $\omega_1 = 128$ rad/s, $\omega_2 = -211$ rad/s

Following conclusions can be reached by analysis of Fig. 19-Fig. 21:

(1) With dual unbalance excitation, disk 2 and 4 execute petal-shaped orbits. Especially when approaching critical speeds of the rotor system, Fig. 20.

(2) The rotor system is slightly anisotropic, which might be caused by the squirrel cage, see Fig. 19(b), Fig. 19(d), 20(b), Fig. 20(d), Fig. 21(b), Fig. 21(d).

(3) Under relatively low speed, the numerical results show great agreement with the experimental results.

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4. Conclusions

A modeling method combining the finite element method and the fixed interface modal synthesis method has been developed in the work to establish the nonlinear model of a counter-rotating dual-rotor test rig for steady state nonlinear response analysis. First, a finite element model of the rotor system is established without considering supports, from which the mass, stiffness and gyroscopic matrices are obtained. Together with these matrices, the fixed interface modal synthesis method is applied to establish the nonlinear model of the rotor system in which the nonlinearities of SFD and intermediate bearing are considered. Subsequently, the Newmark- β method is improved to solve the nonlinear equations of motion. Then dynamic characteristics of the rotor system are investigated.

Conclusions are listed as following:

1) The modeling method developed in this work is fast and efficient in establishing nonlinear model of complex rotor systems. Combing with the improved Newmark- β method, nonlinear dynamic characteristics can be obtained accurately and efficiently.

2) Due to coupling effect of the intermediate bearing, dual unbalance excitation frequencies are dominant in the responses of inner and outer rotor. Besides, frequency components other than dual unbalance excitation frequencies in the responses of inner and outer rotor are mainly caused by coupling effect of the intermediate bearing.

3) Rotors execute stable periodic orbital motion in 4-390 rad/s. Transformations between periodic, quasi periodic and chaotic motion are reached with increasing rotational speed. A low frequency component which is approximately the first critical speed of the outer rotor is observed, which means the SFD has a great influence on the state of motion of the rotor system.

4) Orbit of the rotor system under dual unbalance excitation is petal shaped, especially when approaching critical speeds of the rotor system. Under relatively low speed, the validities of the modeling method and the solving method were demonstrated with the experimental results.

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