# Design of 3-level LLC converter voltage controller for wide input voltage fluctuations

# Hyeong Jin Lee<sup>1</sup>, Chan Ho Kim<sup>2</sup>, Jeong Won Kang<sup>3</sup>, Hag Wone Kim<sup>4</sup>

Korea National University of Transportation, Chungju, Republic of Korea

<sup>4</sup>Corresponding author

**E-mail:** \(^1\)dlgudwlszx@ut.ac.kr, \(^2\)rlacksgh1212@a.ut.ac.kr, \(^3\)jwkang@ut.ac.kr, \(^4\)khw@ut.ac.kr

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Abstract. The auxiliary power supply unit for railway vehicles delivers various power to the passenger car. Maintaining isolation between the catenary power line and the auxiliary power in the compartment is typically essential. To ensure electrical isolation, an efficient LLC resonant converter is utilized among isolated DC-DC converters. Generally, LLC resonant converter primarily employ PFM control method. However, there is a limitation in increasing the switching frequency at high input voltages, making it difficult to handle wide input voltage fluctuations from the overhead catenary power line. To overcome this limitation, the PFM control method is introduced alongside the existing PFM control method, resulting in the presence of two controllers. However, since these two controllers regulate the output voltage differently, there is a potential for output voltage overshoot due to significant input voltage fluctuations. This paper proposes a method that combines PFM and PWM controllers, along with feed-forward compensation, to address these issues. The effectiveness of this proposed method is validated through PSIM simulation and experimentation.

**Keywords:** 3-level LLC resonant converter, wide input voltage correspondence, input voltage feed-forward compensation, hybrid (PFM, PWM) controller.

## 1. Introduction

The Auxiliary Power Unit (APU) for railway vehicles generates three-phase AC voltage using the DC voltage supplied from the catenary, supplying power to various onboard systems such as air conditioning and lighting. Therefore, the APU, being closely related to passengers, requires electrical insulation. [1]-[3]. This insulation is achieved using an LLC resonant converter, which achieves high efficiency through zero-voltage switching in the entire load range using a transformer-based insulation DC-DC converter. However, due to the nature of APUs using a wide voltage range from the catenary as a power source, LLC resonant converters have the drawback of requiring a wide variation in switching frequency to accommodate this, leading to increased control complexity.

Fig. 1(a) depicts the configuration of a conventional railway vehicle APU, which combines a Boost converter and an LLC converter. The Boost converter is configured at the input of the LLC converter to overcome the mentioned weakness of the LLC converter and accommodate the varying input voltage. The LLC converter operates at the resonant frequency, such as the switching frequency, always maintaining a voltage gain of 1. However, this two-stage power conversion system increases volume and reduces overall power conversion efficiency [4].

Therefore, research is underway to overcome these drawbacks by applying a three-level LLC converter with modulation control, as shown in Fig. 1(b). This three-level LLC converter, as depicted in Fig. 2 boosts the output voltage through frequency control in the region where the input voltage exceeds the rated value and boosts the voltage through modulation control in the region where the input voltage is lower than the rated value [5].

The three-level LLC converter with frequency and modulation control overcomes the

drawbacks of the two-stage power conversion system. However, due to the different methods of controlling the output voltage depending on the input voltage, the performance of excessive responses such as overshoot and undershoot in the output voltage is not satisfactory, leading to reliability issues. Therefore, this paper proposes a feedforward compensation method and a hybrid approach of combining frequency and modulation controllers to ensure stability and reliability while accommodating a wide input voltage range.

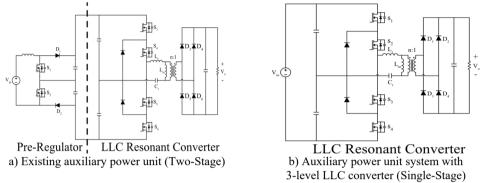


Fig. 1. Auxiliary power unit system

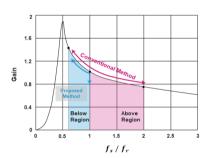


Fig. 2. LLC resonant converter voltage gain graph and frequency modulation range

#### 2. 3-level LLC resonant converter

# 2.1. Operation principle of duty control in a 3-level LLC resonant converter

Fig. 3 illustrates the conduction states of the system with duty control applied in each mode. Since the system can be divided into a total of 8 modes, describing 4 modes within one half-cycle due to symmetry [6-7].

Mode 1 begins after both capacitors  $C_{oss3}$  and  $C_{oss4}$  in Fig. 3 are fully charged, achieving the ZVS condition for  $S_1$  and  $S_2$ . The resonant tank voltage  $V_{ab}$  receives half of the total input voltage. At this point, the inductor  $L_r$  and capacitor  $C_r$  start resonating, and the differential component between  $i_{L_m}$  and  $i_{L_r}$  is transferred to the secondary side of the transformer. As a result, the secondary side rectifier diode  $D_{s1}$  and  $D_{s4}$  conduct, delivering the output voltage  $V_o$  to the secondary side of the transformer. Subsequently, at the pulse width modulation control command time  $DT_s$ ,  $S_1$  is turned off simultaneously, marking the end of mode 1.

The duration of mode 1 allows for the control of the average voltage input to the resonant tank. After  $S_1$  turned off in mode 1, mode 2 is entered. During this transition, although the input voltage  $V_{ab}$  of the resonant tank decreases to 0V, the current is  $i_{L_m}$  and  $i_{L_r}$  still exist, maintaining the voltage across the secondary side rectifier didoes  $D_{S1}$  and  $D_{S4}$ , and thus, the voltage across the secondary side of the transformer remains  $V_o$ . Subsequently, mode 2 concludes when  $i_{L_r}$  and  $i_{L_m}$  become equal.

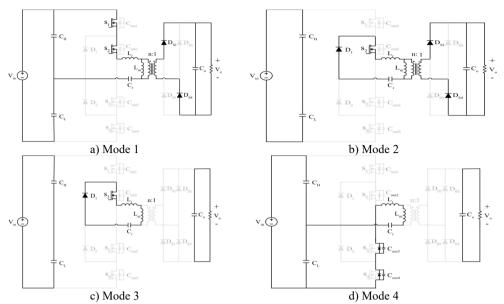


Fig. 3. Conduction state of each mode

Mode 3 is the interval where the resonant current  $i_{L_r}$  transfers all its energy to the secondary side and reaches the same value as  $i_{L_m}$ . During this phase,  $S_2$  and  $D_1$  are conducting, allows the circulating current to flow within the resonant tank.

Mode 4 is the dead time interval implemented to achieve ZVS. When  $S_2$  which was conducting, is turned off, the energy of the magnetizing inductance  $L_m$  charges the output capacitances  $C_{oss1}$  and  $C_{oss2}$ , achieving the ZVS turn on condition for the next cycle.

# 3. Voltage controller design of 3-level LLC resonant converter

In this chapter, a voltage controller utilizing frequency and duty cycle modulation to accommodate input voltage fluctuations is designed. Fig. 4 represents the block diagram of the proposed controller, outlining its structure. The operation of the controller involves frequency control when the input voltage is equal to or lower than the rated voltage, and duty cycle control when it exceeds the rated voltage. Frequency control adjusts the frequency according to the output voltage within the limiter range of the frequency controller through a PI controller, while the duty cycle is maximized to generate the signal. On the other hand, duty cycle control calculates the duty cycle based on the error between the PI output of the frequency controller and the limiter output through a P controller and a limiter, with the frequency fixed at its maximum value to output the signal. However, a drawback exists where excessive states occur in the output voltage during fluctuations in the input voltage in the varying region, although the output follows the desired voltage [8]-[10].

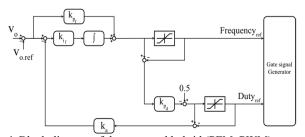


Fig. 4. Block diagram of the proposed hybrid (PFM, PWM) controller

Excessive states such as overshoot and undershoot in the output voltage during input voltage fluctuations can be improved through feedforward compensation. The controller with this added feedforward compensation is represented in a structure similar to Fig. 5. The feedforward compensator can be designed based on voltage gain curves associated with the goodness factor and inductance ratio. Additionally, since the controller operates based on frequency, the design of feedforward compensation is based on frequency and input voltage. Feedforward compensation is utilized within the controller to swiftly respond to input voltage variations, thus, instead of employing complex voltage gain curve equations, it is linearly approximated for use.

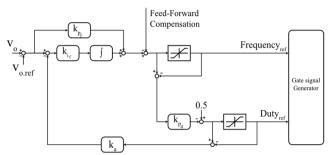


Fig. 5. Block diagram of the controller with the proposed feed-forward compensation

#### 4. Simulation

The proposed controller is verified through simulation and experiment using PSIM. Table 1 shows the specifications applied to both simulation and experiment. The simulation should ideally cover the input voltage of a maximum of 1800 V and a minimum of 900 V at a rated of 1500 V, but due to the difficulty of further experimental verification, the output voltage was lowered to about 1/3 of the actual output voltage of 700V for verification. Fig. 6 shows the simulation results.

Table 1. Simulation parameter of 3-level LLC resonant converter

Parameter	Value	Unit
Output Power	1	kW
Input voltage	300-550	V
Output voltage	200	V
Resonant frequency	100	kHz

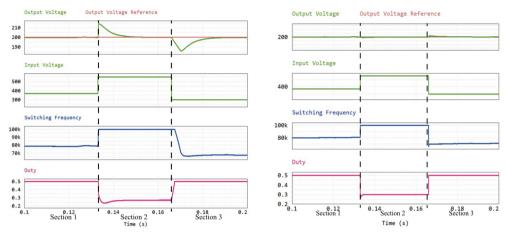
**Table 2.** Comparison analysis of transient status according to the presence or absence of feed-forward compensation

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Comparison target	Overshoot peak	Undershoot peak	Unit	
Absence of feed-forward compensation	15	-15	V	
Presence of feed-forward compensation	3	0	V	

In Region 1, an input voltage of 370 V is applied to control the output voltage through frequency modulation. In Region 2, the input voltage is boosted to 550 V to control the output voltage through a fixed frequency and a modulated duty cycle. Region 3 includes the process of switching control mode through frequency modulation control by increasing the input voltage to 300V and controlling the output voltage with a modulated frequency and a fixed duty cycle. Therefore, in Section1 to 3, a change in the operating point according to the change in the input voltage was observed, so that the modulation ratio and frequency change could be confirmed.

Fig. 6(a) shows the waveform to which the feedforward compensation is not applied, and (b) shows the waveform to which the feedforward compensation is applied. In (a), when the input voltage fluctuates, an overshoot and an undershoot occur in the output voltage. Therefore, in (b), it can be seen that by providing feedforward compensation for the input voltage, the overshoot and undershoot are greatly reduced in the output voltage. The results are shown in Table 2. When

the overshoot input voltage increases, the overshoot decreased from 7.5 % to 1.5%, and the undershoot decreased from 7.5 % to 0 %. These results can improve the transient problem occurring in the hybrid controller during feedforward compensation.



a) Waveform without feed-forward compensation
b) Waveform with feed-forward compensation
Fig. 6. Simulation results

#### 5. Conclusions

In this paper, a control strategy combining frequency control and duty control techniques is designed for a 3-level LLC resonant converter to improve the transient state occurring during input voltage variations, applying feedforward compensation to ensure stable output. Auxiliary power unit for railway vehicles have the characteristics of rapid input voltage fluctuations due to the impact of the pantograph graph connected to the catenary. As a result, research is actively underway on single-stage structures without pre-regulator power supplies to address the drawbacks of double-stage structures with significant switching losses and space requirements. However, removing the pre-regulator power supply exposes the resonant converter directly to a wide range of input voltages. Therefore, a control technique is proposed that combines frequency control and duty ratio control to design the controller and improve the transient state occurring during rapid input voltage fluctuations, thereby controlling the voltage. The proposed control technique is verified through simulation to demonstrate its ability to track voltage commands even during input voltage variations and to control without transient states during rapid input voltage fluctuations.

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## Data availability

The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

# **Conflict of interest**

The authors declare that they have no conflict of interest.

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